Theoretical Study of Solvent Effects on 1,3-Dipolar cycloaddition Reaction

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Abstract

The correlation between solvents parameters and rate constant (k) of 1,3-dipolar cycloaddition of benzonitrile oxide and N-ethylmaleimideorcyclopentene(k collected from literature) in different hydroxylic and non hydroxylic solvents were studied. Single parameter correlations were found to be poor(R^2 < 0.9) except for σ^{*} and α; R **2 =**0.903,0.914respectively.Avery good multiparameters correlation was found for the reaction of N-ethylmaleimide(logk=-0.145 +0.719+0.0336 $E_{T(30)+}$ 0.844 α ; R **2 =**0.995.sig:=0.091,F=65.131.

While excellentdual parametric correlation was shown when the dipolarophile was cyclopentene (logk=-0.0721,-0.895α-0.0148B ;)R**² =**0.999, sig: =0.035, F=412.438. Which strongly indicates that protic solvents(HBD solvents) facilitate reactions with HBA dipolarophiles. These results may be useful as priori predictions for these reactions.

Keywords: 1, 3-Dipolar Cycloaddition,Solvent effects,SPSS Regression analysis,Solvent parameters.

الخلاصة

 ان العلاقة بین تاثیرات المذیبات ومعدل ثابت سرعة التفاعل لتفاعلات 1،3 تفاعلات الاضافة الحلقیة ثنائیة القطبیة لمركبات اوكسید بنزونترایل ومركب ن- اثیل مالیامیدورسایكلوبنیتین تم دراستها في الادبیات باستعمال مذیبات مائیة وغیر مائیة.

لقد تم ایجاد معامل ارتباط منفرد ضعیف (R2،0.9) لانتقالات σ و α وكذلك تم ایجاد معاملي ارتباط وكذلك (R²= 0.914 (وكذلك (R²= 0.903 (وكذلك (

بالنسبة لتفاعل اثیل مالیامید تم ایجاد معامل ارتباط جید +0.719+0.0336 -0.145=logk(ET(30)+0.844α) R**²** .(65.131=F0.091,=:sig0.995. (**=**تم ایجاد معامل ارتباط ثنائي بارامتري ممتاز **2** للمركبات ثنائیة القطبیة مثل السایكلوبنتین R) (B-0.0148α-0.0721,-0.895=logk(**=**0.999, .(5412.438 sig: =0.035, F=412.438 هذه النتائج تدل على ان المذیب الهیدروجینی یسهل التفاعل مع المركبات ثنائیة القطبیة وهذه العملیة تعتبر مفیدة عند توقع احتمالیة حصول التفاعل مسبقا.

Introduction

1, 3-Dipolar cycloaddition (13DC) is a chemical reaction involves the addition of an unsaturated compound to a 1, 3 dipole to form a five-membered ring. Their usefulness arises from their versatility and their stereospecific

creation of chiral centers in organic molecules. The 1, 3- dipoles can be classified into two types; the allylanion type and the propargyl/allenyl type (Scheme I).

Scheme I

According to Woodward – Hoffmann rule² 13DC is a thermally allowed $\left[\pi^4\right]$ $+_{\pi} 2_{\text{s}}$ concerted reaction. Thus the transition state (T.S.) is controlled by the frontier orbitals of the reactants³. Accordingly 13DC additions contain three types of interactions:

The $HOMO_{dipde} - LUMO_{alkene}$ (type I), the $HOMO - LUMO$ of both reactants (type II) and the $LUMO_{\text{dipole}}$ – HOMO alkene (type III) interactions.

 Nitrile oxides are 1, 3-dipoles belong to the propargyl / allenyl type.

The 13DC addition of nitrile oxides to alkenes yield 2- isoxazolines (Scheme II), which are useful intermediate in organic synthesis.⁴

Scheme II

 The literature contains many examples of 1, 3-DC nitrile oxides to alkenes ^{1a,5}but few studies of solvent effects on this reaction⁶.

 However solvation is the disappearance of the solute in the bulk of the solvent. Two viewpoints have been shown to be valid for the solvation process. In the first the solvent is considered as homogeneous continuum, exerts non-specific interactions. The strength of these long - range interactions are measured in terms of bulk properties of the solvent such as the dielectric function *f*(ε) and

refractive index function $f(n^2)$.⁷In the second view point the solvent is considered as inhomogeneous medium possess short – range, specific and chemical forces. These interaction forces are expressed in terms of the solvents specific properties; Lewis basicity (nucleophilicity) B^{7c} , Lewis acidity (electrophilicity) E^{7c} , hydrogen – bonding interactions, hydrogen – bonding donor ability (HBD) α^8 and hydrogen – bonding acceptor ability $(HBA)\beta^8$. The literature contains many empirical solvent parameters some measure single property and others measure mixed properties. However,

when solvent interacts in specific manner with solute, correlation with parameters which assume no direct interaction will fail. Eventually, for a reaction the solvent stabilizes the reactants and the T.S. and hence the rate of the reaction is dependent on the extent and mode of solvation.

The aim of this work is to obtain linear solvation relationship between the rate constant(k,collected from literature) for the reaction of benzonitrile oxide (1) ethylmaleimide (2) or ethylcyclopentene(3) into two sets of hydroxylic solvents and solvent parameters.These parameters are Lewis basicity B^{7c} , Lewis acidity E^{7c} , polarity/ polarizability scale $E_T(30)^8$, Taft's polar substituent constant of alcohol $σ*⁹$, basisity as indicated by solubility of hydrogen chloride in alcohol $(L)^{10}$, steric subsituent constant Es^9 , HBD ability α⁸,HBA ability β^8

dielectric constant $f(\epsilon)$ ⁷, refractive index function $f(n^2)$ ⁷, solvatochromic polarity scale π^{*8} , and Gutmann donor DN and Gutmann acceptor AN numbers⁸.

 The all mentioned parameters for the corresponding solvents together with the rate constant logk were shown in tables1,2,3 and ,4.

The correlations of rate constant (log k) with single and multiparameters linear regression were examined using SPSS VERSION 11.

Correlation coefficient R^{211} and significant value $p (p < 0.05)$ were used as measure for the significance of the regression. While F-test was used to test the validity of the multiparametric regression.

Table 1 Reaction of benzonitrile oxide (1) with N-ethylmaleimide (2) in alcohols. (a.ref.7 b.ref.9 c.ref.6 d.ref.10 e.ref.11 f.ref.12)

Solvent	K_2^a	Log k	B_p	E_p	$E_{T(30)}$	σ^{*d}	E_s^d	L^e	ϵ	π^{*c}	$\propto^{\rm c}$	β ^c	E_T^{Nc}
MeOH	0.196		114	14.9	55.5	0.00	0.00	0.857	0.477	0.60	0.98	0.66	0.762
		0.7077											
1-	0.320		119	10.6	50.7			0.957	0.464	0.52	0.84	0.90	0.617
propanol		0.4949				0.36	0.36						
$2 -$	0.289		122	8.7	45.6			1.029	0.460	0.48	0.76	0.84	0.546
propanol		0.5391				0.47	0.47						
t-BuOH	0.254		125	5.2	43.9			1.115	0.441	0.41	0.42	0.93	0.389
		0.5952				1.54	1.54						
1-	0.310		119	10.3	50.2		$\overline{}$	0.965	0.457	0.47	0.84	0.84	0.586
butanol		0.5086				0.39	0.39						
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Table 2 Reaction of benzonitrile (1) with cyclopentene in alcohols. (a.ref.7 b.ref.9 c.ref.6 d.ref.10 e.ref.11 f.ref.12)

Solvent	K_2^a	Log k	E T(30)	\propto^b	β _b	π^{*L}	2c (n)	(s)	E_T^{Nb}	AN^d	DN^d
CCl ₄	0.210	-0.6778	32.4	0.00	0.10	0.21	0.125	0.222	0.052	8.6	0.0
THF	0.100	-1.0000	37.4	0.00	0.55	0.55	0.197	0.405	0.207	8.0	20.0
CHCl ₃	0.059	-1.2291	39.1	0.20	0.10	0.10	0.210	0.356	0.259	23.1	4.0
CH ₃ CN	0.100	-1.0000	45.6	0.19	0.40	0.40	0.175	0.481	0.460	18.9	14.1
n- Hexane	0.330	-0.4815	31.0	0.00	0.00	0.00	0.186	0.188	0.009	0.0	0.0

Table 3 Reaction of benzonitrile (1) with N-ethylmaleimide (2) in non-hydroxylic solvents. (a.ref.7 b.ref.6 c.ref.12 d.ref.13)

Table 4 Reaction of benzonitrile oxide (1) with cyclopentene (3) in nonhydroxylic solvents. (a.ref.7 b.ref.6 c.ref.12 d.ref.13)

Solvent	K_4^a	Log k	E b T(30)	\propto^b	β^b	π^{*b}	c $f_{(6)}$	2c (n)	$E_T^{\ Nb}$	AN^d	DN^d
CCl ₄	$26*10-$ 4	2.5955	32.4	0.000	0.10	0.21	0.222	0.125	0.052	8.60	0.00
Dioxane	$17*10-$ 4	2.7696	36.0	0.000	0.37	0.49	0.223	0.203	0.164	10.30	14.30
CHCl ₃	$13*10-$ 4	2.8962	39.1	0.200	0.10	0.69	0.356	0.210	0.259	23.10	4.00
CH_2Cl_2	$12*10-$ 4	2.9066	45.6	0.190	0.40	0.66	0.481	0.175	0.460	18.90	14.10
n- Hexane	$33*10-$ 4	2.4776	31.0	0.000	0.00	-0.11	0.188	0.186	0.009	0.00	0.00
CH ₃ CN	$12*10-$ 4	2.9208	40.7	0.130	0.10	0.73	0.420	0.204	0.309	20.40	1.00

Reaction of benzonitrile oxide with N-ethylmaleimide in alcohols:In case of the reaction of 1 with 2 in alcohols table 1 ,single correlations between log k and the parameters shown in table 1 were found to be poor $(R^2<0.556)$. However the best multiparameteric equations obtained were :

 $F_{table} = 53.593$

As shown in equation 1-4 above the correlation coefficients were fair to be satisfactory¹¹but, the significance of the correlation is low p>0.05.However these equations(1-4) showed also that the solvation of the reaction can be described by the solvation parameters HBD α, HBA β, ET(30), π^* , and B. For the HBD acidity it seems that the alcohol solvate the nitrile oxide through H-bonding with the negatively charged oxygen hence retards the reaction. Since the cycloaddition required naked oxygen.While the maleimide was solvated through hydrogen bonding with carbonyl groups of the maleimide which in turn polarize the double bond(schem2,5) and make it more available for addition(accelerate the reaction).Thus the solvation of the two reactants oppose each other but the solvation of maleimide seems to overwhelm the solvation of the 1,3 dipole and the net is acceleration of the reaction(positive sign of α coefficients in allequation 1-4).This interpretation is also confirmed by the negative coefficient of α(equation 6) for the reaction of benzonitrile oxidewith cyclopentene where the carbonyl group is absent . Therefore the solvation via hydrogen bonding acidity HBD, operates only for the 1,3-dipole.

For the solvation by HBA basicity (β)(equations 1-4),it is believed that hydrogen of the alkene double bond in solids can form hydrogen- bonding of the type $C-H$... O^{13} . This may permit the surmise that alcohol form hydrogen –bonding with olefinic protons of maleimide in solution which will

polarize the double bond and make it more available for cycloaddition .And such polarization imply nucleophilic solvation (B) of the double bond as shown by equation 1 and Scheme $III¹²$. The correlation of $E_{T(30)}$ and π^* parameter (equation 3and 4,negative sign) indicate the negative solvaton via dipolarity, which is in accord with less polar T.S than the reactants.

Reaction of benzonitrile oxide with cyclopentene in alcohols:

The rate constants of the reaction of 1 of 3 in non hydroxylic solvent were shown in table 2 together with solvent parameters. The linear regression of logk with single parameter were shown in table 5.The fair correlation of both parameter σ^* and \propto (equations5-6)reflect that thehydroxylicsolvent strongly solvate the 1,3-dipole through HBD acidity and retard the reaction.The opposite signs shown by σ*and ∝ upon their inclusion in adual parametric equation may be attributed to the very high collinearly between them $2=0.99$). However improvements were shown when a second parameter was introduced to each correlation of σ*and ∝ (eqauation 7-22).Contrary to the reaction of maleimide,all correlations (equation 7- 22) reflect a negative solvation by HBD acidity ,polarity and,polarizability. The 1,3-dipole is highly solvated by these factors and dominates the solvation process.The best dual parametric correlation was shown by HBD acidity \propto and basicity (B)(R2=0.999,sig.0.035(F=422.4)

Solvent parameter	Sign of coefficient	R^2	Sig. value P
\propto		0.914	0.044
B	$^{+}$	0.773	0.121
B	$^{+}$	0.521	0.278
E		0.894	0.054
E_{s}		0.513	0.284
$E_{T(30)}$		0.886	0.059
π^*		0.876	0.064
σ		0.903	0.050

Table 5 Single linear regression of log K with solvents parameters of the reaction of 1 with 3 in alcohols.

 $F_{table} = 199.5$

Reaction of benzonitrile oxide with N-ethylmaleimide in polar and apolar aprotic solvents

Different solvent interactions were found in the reaction of 1 with 2 in non-hydroxylic solvents.Poor correlations were found for single paramtric equations. R^2 Were ≤ 0.9 for Gutmanns acceptor (A.N.) and Donor $(D.N.)$ numbers¹³, Kirkwood dielectric function f (ε) refractive index function $f(n^2)$, Dimorth-Reichardt ET(30) and normalized $E_T(30)$ ET^N , but R ²wasSatisfactoryfor solvatochromic parameters π^*R^2 = 0.933. Upon introduction of a second parameter satisfactory correlations were obtained(equations 23, 27a,28a,29a,30a).Further both

single and multi-parameter correlations were improved on exclusion of $CHCl₃$ from regressions (equations 24.25,26,and 27b,28b,29b,30b).Besides the sign of the parameters $f(\varepsilon)$, DN, AN, β were changed when CHCl₃ was excluded from the regression.This may be inferred by the high anomaly of CHCl3where the rate is the slowest among other solvent table $3(k=0.059, \text{ref.6b}$ table 1) since CHCl3 has the ability to form hydrogenbonding.However,the

solvation of the reaction is best described byπ*,AN,DN , f(ε),and β parameters ,through negative solvation of the 1,3-dipole. Also less polar T.S. than the reactants is less sensitive to polar interactions.

 $F_{table} = 799.5$

F table=4999.5

Reaction of benzonitrile oxide with cyclopentene in polar and apolar aprotic solvents:

On linear regression of logk of the reaction of 1 and 3 in non hydroxylicsolvents,with single solvent parameters(table 4) only π^* among all other parameters showed asatisfactory correlation with a high significance p> 0.001.This indicates that the reaction are mainly solvated by non-specific interactions the dipole interactions i.e. negative solvation of the 1,3 dipole.When another parameter introduced no mentioned changes in correlations were found(equations 32a,33a,34a,35a,36a,37a and,38a) . Also on exclusion of CHCl3 from the regression, no improvements were seen(equations

31b,32b,33b,34b,35b,36b,37b and,38b)


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R^2 =0.994 sig. = 0.001 F_{cal} = 166..035
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Conclusion

 In summary it seems that there exist two modes of solvation one in favor of reaction and other slow down the reaction. As we mentioned earlier in the reaction of 1 and2 in alcohols, both reactants solvated by HBD acidity but the two processes opposing each other and the solvation of2 prevails (positive sign of αequation 1- 4).Similarly the nucleophilic solvation (B) of 1 and 2 where the solvation of 2 prevails (positive sign of B equation1). On going from maleimide to cyclopentene the solvation by HBD acidity slows down the reaction (negative sign of α equations), since the dipolarophile lacks the ability of HBA ability. And this supports the above interpretation of 1 and 2 solvation in alcohols.

 The solvation of 1and 2 in nonhydroxylic solvents can be mainly explained by non-specific dipolar solvation (π^*) (negative solvation). On exclusion of CHCl₃ better correlations were obtained and the sings of parameters reversed. This indicates that CHCl₃deviates from the trend and has different impact of solvation on the reaction than in other solvents.

 In case of the reaction of 1 and3 in non-hydroxylicsolvents, the reaction was mainly negatively solvated by dipolarity (π^*) with little viaspecific solvation HBA (β) .

 Finally in this reaction three entities have to be solvated, the 1,3 dipole, the dipolarophile and the T.S.. The solvation of the dipolarophile by bipolarity – pdarizibility is in favor of the reaction. While the solvation of the 1,3-dipole and the T.S. by the same way are disfavored by reaction. In similar way the solvation by hydrogen bonding where solvation of dipdarophile by hydrogen bonding increase the rate of reaction.

 The lack of HBA basicity on changing the dipolarophile from maleimide to cyclopentene makes the rate to dropped by 100 fold (ref.6b table1) amatter which support our interpretation.

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